



15-02-02

Patent  
015110.0096.UTL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Applicant: Lin Zhi, *et al.*

Serial No.: 10/080,926

Filed: February 22, 2002

For: TRICYCLIC ANDROGEN  
RECEPTOR MODULATOR  
COMPOUNDS AND METHODS

Group Art Unit: 1614

Examiner: Not Yet Assigned

**SUBMISSION OF MISSING PARTS AND RELATED APPLICATION PAPERS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

**1. DOCUMENTS ENCLOSED:**

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR 1.53(b)**, which was mailed by the Patent Office on March 19, 2002, enclosed are:

- ☒ Declaration executed by named inventors;
- ☐ Substitute Drawings Figures \_\_\_\_\_ (\_\_\_\_ sheets);

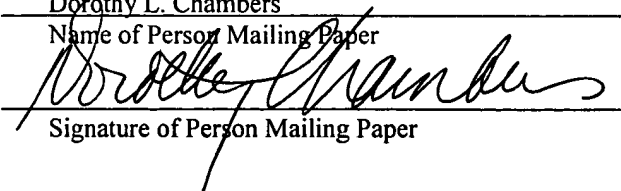
**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with anything referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL573392624US  
Express Mail Label No.

April 29, 2002  
Date of Deposit

Dorothy L. Chambers  
Name of Person Mailing Paper

  
Signature of Person Mailing Paper

- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b);
- ☒ Assignment of the invention to Ligand Pharmaceuticals Incorporated (together with form PTO-1595).
- ☒ Grant of Power of Attorney.

## 2. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two month	\$200.00	\$400.00
<input type="checkbox"/> three month	\$460.00	\$920.00
<input type="checkbox"/> four month	\$720.00	\$1,440.00
<input type="checkbox"/> five month	\$980.00	\$1,960.00

## 3. FILING FEES

<b>BASIC FILING FEE:</b>	\$740.00
Total Claims 165 - 20 = 145 x \$18.00	\$2,610.00
Independent Claims 2 - 3 = 0 x \$84.00	0
Multiple Dependent Claims \$280 (if applicable) <input checked="" type="checkbox"/>	\$280.00
Surcharge 37 CFR § 1.16(e) \$130 (if applicable)	\$130.00
<b>TOTAL OF ABOVE CALCULATIONS:</b>	<b>\$3,760.00</b>
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. <input type="checkbox"/>	
Extension of Time (from above) <input type="checkbox"/>	
Assignment--\$40 (if applicable) <input checked="" type="checkbox"/>	\$40.00
<b>TOTAL FEES SUBMITTED HEREWITH:</b>	<b>\$3,800.00</b>

4. METHOD OF PAYMENT OF FEES:

- ☒ Check in the amount of \$3,800.00 is enclosed to cover the above fee(s).  
☐ Charge Deposit Account No. **50-1273** in the amount of \$\_\_\_\_\_.  
☒ The Commissioner is authorized to charge Counsel's Deposit Account No. **50-1273** for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **50-1273**.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: April 29, 2002

By: Richard H. Pagliery  
Richard H. Pagliery  
Reg. No. 44,276

RHP/dlc

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 APR 29 2002  
 UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/080,926	02/22/2002	Lin Zhi	015110.0096.UTL1

CONFIRMATION NO. 7786

## FORMALITIES LETTER



\*OC000000007668308\*

 Richard H. Pagliery  
 Brobeck, Phleger & Harrison LLP  
 12390 El Camino Real  
 San Diego, CA 92130-2081

RECEIVED

MAR 25 2002

  
 Brobeck, Phleger & Harrison LLP

Date Mailed: 03/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2890.
  - \$2610 for 145 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3760.

05/03/2002 NMOHAMM1 00000065 10080926

01 FC:101	740.00 OP
02 FC:103	2610.00 OP
03 FC:104	280.00 OP
04 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*
  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

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